

# Auditing/Certification

## *Three Legged stool*

Fundamentally all types of auditing is comprised of:

- “What” criteria/standard
- “How” the certification system/process works (transparency)
- “Who” auditor competency

Food Safety  
Quality  
Environmental  
Health  
Safety



1<sup>st</sup> Party  
2<sup>nd</sup> Party  
3<sup>rd</sup> Party

# Unaccredited 3<sup>rd</sup> Party Audits

*(Was Common in US)*

## Pros:

- ✓ *Cheap*
- ✓ *Quick*
- ✓ *Flexible*

## Cons:

- ✓ *External rules*
- ✓ *Oversight*
- ✓ *Transparency*
- ✓ *Independence*
- ✓ *Competition*

## Concerns:

- *Independence*
- *Transparency*

## Audit Company Controls

*What (Std), How (Process), Who (Auditor)*



**Site**

*Food/Feed Safety System*

# Audit Key Criteria Comparison

## Common Audits

Number of Criteria	CODEX General Principles of Food Hygiene (Rev. 4-2003)	AIB Consolidated Standards for Food Safety	NSF Cook & Thurber Food Safety, Quality & Food Defence	SAFE GMA Version 11	Silliker GMP & Food Safety Audit System 2006	ISO 22000 :2005	BRC Version 4	Dutch HAACP Option B: HACCP Based Food Safety System	IFS Version 4	SQF Version 9	GFSI Version 5
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### CODEX: 37 Criteria

37	37	37	37	34	37	37	36	37	37	37	37
%	100%	100%	97%	92%	100%	100%	97%	100%	100%	100%	100%
Avg non-GFSI benchmarked audits						36	Avg GFSI benchmarked audits				37
						97%					99%

<b>Avg All Audits</b>
36
98%

### 67 KEY CRITERIA: (Food Safety, Regulatory, Quality Management)

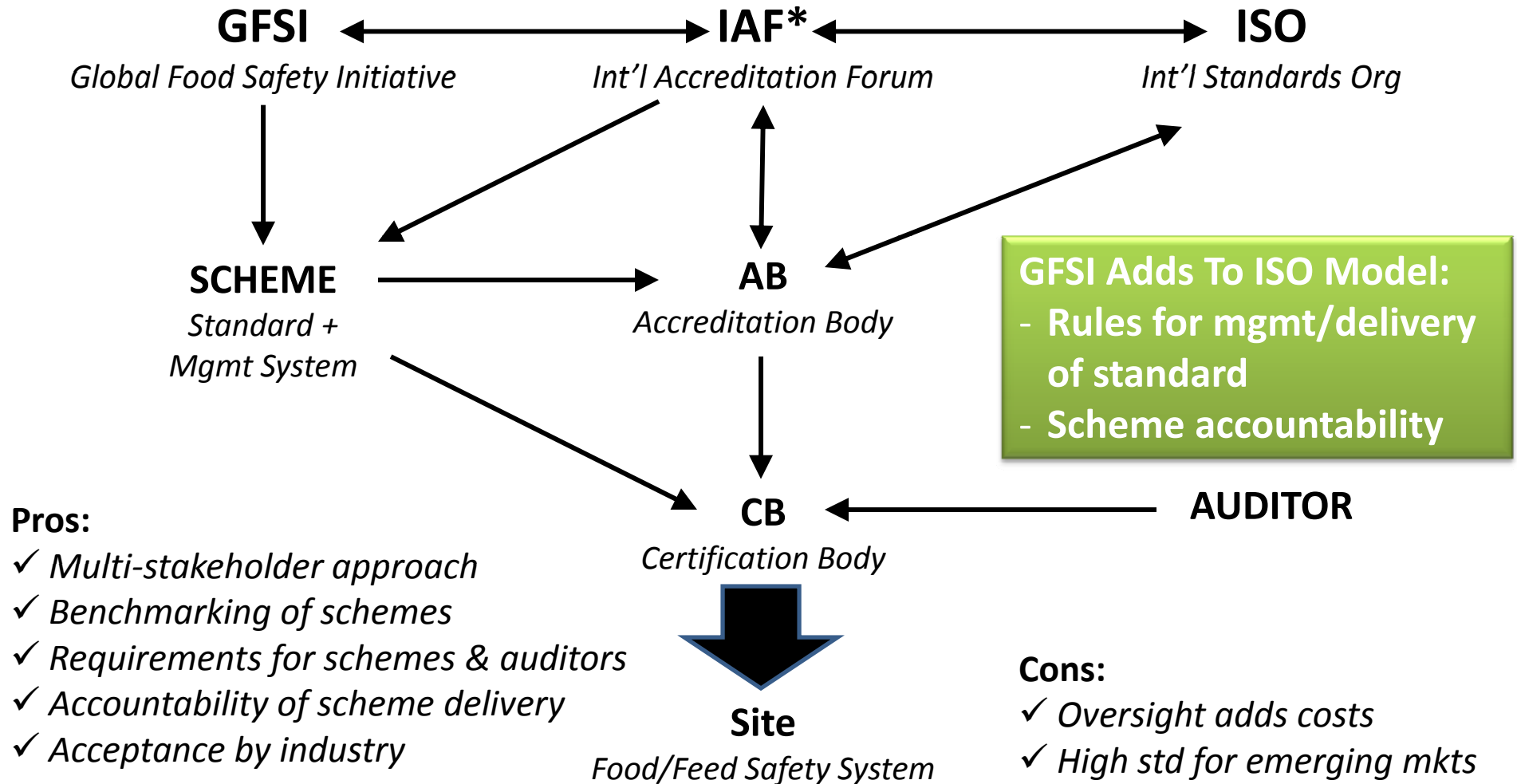
67	37	63	62	62	56	64	62	54	63	61	61
%	55%	94%	93%	93%	84%	96%	93%	81%	94%	91%	91%
Avg non-GFSI benchmarked audits						61	Avg GFSI benchmarked audits				60
						92%					90%

<b>Avg All Audits</b>
61
91%

GFSI has 3 key rules affecting typical US unaccredited audits

- 1- Standard (**what**): Audit standard must be benchmarked & public to accredited Certification Bodies
- 2- Accreditation (**how**): Certification Bodies accredited by IAF recognized AB's & follow ISO audit rules
- 3- Competency (**who**): Auditor must be qualified/competent to GFSI & scheme standards

# Accredited 3<sup>rd</sup> Party Certification (GFSI Model)



\*IAF includes Int'l Lab Accreditation Cooperation (ILAC)